

**Combining extensions of the Hales-Jewett
Theorem with Ramsey Theory
in other structures**

Neil Hindman,

Dona Strauss,

and

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Notation. Throughout \mathbb{A} is a finite alphabet (= nonempty set), S_0 is the free semigroup over \mathbb{A} , T_1 is the free semigroup over $\mathbb{A} \cup \{v\}$ where v is a *variable* which is not a member of \mathbb{A} , and $S_1 = \{w \in T_1 : v \text{ occurs in } w\}$. If $w \in S_1$ and $a \in \mathbb{A}$, then $w(a)$ is the result of replacing each occurrence of v in w by a .

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Theorem (Hales-Jewett). *If S_0 is finitely colored, then there exists $w \in S_1$ such that $\{w(a) : a \in \mathbb{A}\}$ is monochromatic.*

Basic information

I. Compact right topological semigroups. Let (X, \cdot, \mathcal{T}) be a compact Hausdorff right topological semigroup. That is, (X, \cdot) is a semigroup, (X, \mathcal{T}) is a compact Hausdorff space, and for each $x \in X$, ρ_x is continuous, where $\rho_x(y) = y \cdot x$. As customary, we write xy for $x \cdot y$.

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- (7) If R is a right ideal of X and L is a left ideal of X , then there is an idempotent in $R \cap L$.

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- (4) For $C \subseteq S$, $\overline{C} = \{p \in \beta S : C \in p\}$. The set $\{\overline{C} : C \subseteq S\}$ is a basis for the open sets (as well as a basis for the closed sets) of βS .

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- (5) For a sequence $\langle x_n \rangle_{n=1}^{\infty}$ in S , $FP(\langle x_n \rangle_{n=1}^{\infty}) = \{\prod_{t \in F} x_t : F \in \mathcal{P}_f(\mathbb{N})\}$, where $\mathcal{P}_f(\mathbb{N})$ is the set of finite nonempty subsets of \mathbb{N} and the product $\prod_{t \in F} x_t$ is computed in increasing order of indices. (If the operation is denoted by $+$, we write $FS(\langle x_n \rangle_{n=1}^{\infty}) = \{\sum_{t \in F} x_t : F \in \mathcal{P}_f(\mathbb{N})\}$.)

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- (11) If T is an ideal of S , then βT is an ideal of βS .
- (12) If X is a compact Hausdorff right topological semigroup and $\varphi : S \rightarrow X$ is a homomorphism such that $\varphi[S] \subseteq \Lambda(X)$, then the continuous extension $\tilde{\varphi} : \beta S \rightarrow X$ is a homomorphism. If φ is injective, so is $\tilde{\varphi}$. If φ is surjective, so is $\tilde{\varphi}$.

Theorem (Hales-Jewett). *Let D be a piecewise syndetic subset of S_0 . There is some $w \in S_1$ such that $\{w(a) : a \in \mathbb{A}\} \subseteq D$. In particular, if S_0 is finitely colored, there is some $w \in S_1$ such that $\{w(a) : a \in \mathbb{A}\}$ is monochromatic.*

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Now $S_0 \cup S_1 = T_1$, so $\beta S_0 \cup \beta S_1 = \beta T_1$. Pick an idempotent $q \in K(\beta T_1)$ such that $q \leq p$.

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Since $u^{-1}D \in p$ we have by the continuity of \tilde{h}_a that $h_a^{-1}[u^{-1}D] \in q$ for each $a \in \mathbb{A}$. Pick

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Then for each $a \in \mathbb{A}$, $w(a) \in u^{-1}D$ so $(uw)(a) \in D$. □

Note that the above proof actually shows that $\{w \in S_1 : \{w(a) : a \in \mathbb{A}\} \subseteq D\}$ is piecewise syndetic in S_1 . And if D is central in S_0 , then $\{w \in S_1 : \{w(a) : a \in \mathbb{A}\} \subseteq D\}$ is central in S_1 .

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Proof. To see that $\tilde{\mu}(pq) = \tilde{\mu}(q)$, that is $\tilde{\mu} \circ \rho_q(p) = \tilde{\mu}(q)$, it suffices that $\tilde{\mu} \circ \rho_q$ is identically equal to $\tilde{\mu}(q)$ on S_0 , so let $u \in S_0$. Then $\tilde{\mu} \circ \rho_q(u) = \tilde{\mu}(uq) = \tilde{\mu} \circ \lambda_u(q)$, so it suffices that $\tilde{\mu} \circ \lambda_u$ and $\tilde{\mu}$ agree on S_1 . This is true because, for $w \in S_1$, $\mu(uw) = \mu(w)$.

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One shows in a similar fashion that $\tilde{\mu}(qp) = \tilde{\mu}(q)$, by showing that $\tilde{\mu} \circ \rho_p$ and $\tilde{\mu}$ agree on S_1 . \square

Theorem. *Let D be a piecewise syndetic subset of S_0 and let B be an IP-set in $(\mathbb{N}, +)$. There exists $w \in S_1$ such that $\{w(a) : a \in \mathbb{A}\} \subseteq D$ and $\mu(w) \in B$. Thus, if S_0 is finitely colored and $\langle x_n \rangle_{n=1}^{\infty}$ is a sequence in \mathbb{N} (think a thin sequence like $x_n = 2^{n!}$), there exist $w \in S_1$ and $F \in \mathcal{P}_f(\mathbb{N})$ such that $\{w(a) : a \in \mathbb{A}\}$ is monochromatic and $\mu(w) = \sum_{n \in F} x_n$.*

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Note that we cannot conclude from the proof that $\{w \in S_1 : \{w(a) : a \in \mathbb{A}\} \subseteq D \text{ and } \mu(w) \in B\}$ is piecewise syndetic in S_1 because q is not known to be minimal in βS_1 . In fact, unless B is central in \mathbb{N} , it won't be.

The following corollary is known to be a consequence of the Central Sets Theorem.

Corollary. *Let $k \in \mathbb{N}$, let B be an IP-set in \mathbb{N} , and let \mathbb{N} be finitely colored. There exist $b \in \mathbb{N}$ and $d \in B$ such that $\{b, b + d, b + 2d, \dots, b + kd\}$ is monochromatic.*

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Proof. Assume that φ is a finite coloring of \mathbb{N} . Let $\mathbb{A} = \{0, 1, \dots, k\}$. For $u = l_1 l_2 \cdots l_m$ where each $l_i \in \mathbb{A}$, let $\tau(u) = \sum_{i=1}^m l_i$. Let $\psi = \varphi \circ \tau$. Then ψ is a finite coloring of S_0 .

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The *extensions* of the Hales-Jewett Theorem from the title involve multiple variables.

Notation. Let $n \in \mathbb{N}$. Then T_n is the free semigroup over $\mathbb{A} \cup \{v_1, v_2, \dots, v_n\}$ where v_1, v_2, \dots, v_n are distinct *variables* that are not members of \mathbb{A} and $S_n = \{w \in T_n : \text{for each } i \in \{1, 2, \dots, n\}, v_i \text{ occurs in } w\}$.

The following corollary is known to be a consequence of the Central Sets Theorem.

Corollary. *Let $k \in \mathbb{N}$, let B be an IP-set in \mathbb{N} , and let \mathbb{N} be finitely colored. There exist $b \in \mathbb{N}$ and $d \in B$ such that $\{b, b + d, b + 2d, \dots, b + kd\}$ is monochromatic.*

Proof. Assume that φ is a finite coloring of \mathbb{N} . Let $\mathbb{A} = \{0, 1, \dots, k\}$. For $u = l_1 l_2 \cdots l_m$ where each $l_i \in \mathbb{A}$, let $\tau(u) = \sum_{i=1}^m l_i$. Let $\psi = \varphi \circ \tau$. Then ψ is a finite coloring of S_0 .

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If $\vec{x} \in \mathbb{A}^n$ and $w \in S_n$, then $w(\vec{x})$ is the result of replacing each occurrence of v_i by x_i for $i \in \{1, 2, \dots, n\}$. Given $i \in \{1, 2, \dots, n\}$, and $w \in S_n$, $\mu_i(w)$ is the number of occurrences of v_i in w .

The full generality of the following theorem has M as an $m \times m$ upper triangular matrix with positive diagonal entries and entries below the diagonal less than or equal to 0 and $w \in S_n$ for $n \geq m$. I present it with $m = n = 2$.

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$\tau_1 = \alpha_{1,1}\mu_1 + \alpha_{1,2}\mu_2$ and $\tau_2 = \alpha_{2,1}\mu_1 + \alpha_{2,2}\mu_2$ where

$\begin{pmatrix} \alpha_{1,1} & \alpha_{1,2} \\ \alpha_{2,1} & \alpha_{2,2} \end{pmatrix}$ is of the form $\begin{pmatrix} + & 0 \\ \leq 0 & + \end{pmatrix}$ or $\begin{pmatrix} 0 & + \\ + & \leq 0 \end{pmatrix}$.

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If S_0 is finitely colored and B_1 and B_2 are IP-sets in \mathbb{N} , then there exists $w \in S_2$ such that $\{w(\vec{x}) : \vec{x} \in \mathbb{A}^2\}$ is monochromatic and

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That is, there exist F and H in $\mathcal{P}_f(\mathbb{N})$ such that

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Then M is image partition regular and there do not exist $x, y \in \mathbb{N}$ such that $M \begin{pmatrix} x \\ y \end{pmatrix} \in B \times B \times B$.

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