

# Nonstandard Methods in Combinatorics of Numbers: a few examples

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# Introduction

In combinatorics of numbers one can find deep and fruitful interactions among diverse *non-elementary* methods, namely:

- Ergodic theory
- Fourier analysis
- Topological dynamics
- Algebra in the space of ultrafilters  $\beta\mathbb{N}$

Also **nonstandard analysis** has recently started to give contributions in this area, starting from the following result:

Theorem (R.Jin 2000)

*If  $A$  and  $B$  are sets of integers with positive upper Banach density, then  $A + B$  is piecewise syndetic.*

(A set is *piecewise syndetic* if it has bounded gaps on arbitrarily large intervals. The *Banach density* is a refinement of the upper asymptotic density.)

The goal of this talk is to present a few examples to illustrate the use of *nonstandard analysis* in this area of research.

- 1 Quick introduction to the *hyper-integers* of nonstandard analysis.
- 2 Hyper-integers as *ultrafilters* and an ultrafilter proof of *Rado's theorem* on monochromatic injective solutions of diophantine equations.
- 3 Nonstandard characterization of *Banach density* and applications in *additive number theory*.

# Nonstandard Analysis, hyper-quickly

**Nonstandard analysis** is essentially grounded on the following two properties:

- 1 Every object  $X$  can be extended to an object  ${}^*X$ .
- 2  ${}^*X$  is a sort of “weakly isomorphic” copy of  $X$ , in the sense that it satisfies exactly the same “elementary properties” as  $X$ .

*E.g.*,  ${}^*\mathbb{R}$  is an *ordered field* that properly extends the real line  $\mathbb{R}$ . The two structures  $\mathbb{R}$  and  ${}^*\mathbb{R}$  cannot be distinguished by any “elementary property”.

## Star-map

To every mathematical object  $X$  is associated its *hyper-extension* (or *nonstandard extension*)  ${}^*X$ .

$$X \longmapsto {}^*X$$

If  $r \in \mathbb{R}$  is a number, we assume that  ${}^*r = r$ . We also assume the non-triviality condition  $A \subsetneq {}^*A$  for all infinite  $A \subseteq \mathbb{R}$ .

- ${}^*\mathbb{N}$  is the set of **hyper-natural** numbers,
- ${}^*\mathbb{Z}$  is the set of **hyper-integer** numbers,
- ${}^*\mathbb{Q}$  is the set of **hyper-rational** numbers,
- ${}^*\mathbb{R}$  is the set of **hyper-real numbers**, and so forth.

## Transfer principle

If  $P(x_1, \dots, x_n)$  is any property expressed in “elementary terms”, then

$$P(A_1, \dots, A_n) \iff P(*A_1, \dots, *A_n)$$

$P$  is expressed in “elementary terms” if it is written in the *first-order language of set theory*, i.e. everything is expressed by only using the *equality* and the *membership* relations.

*Not a limitation:* (virtually) *all* mathematical objects can be “coded” as sets.

Moreover, quantifiers must be used in the *bounded forms*:

$$“\forall x \in A P(x, \dots)” \quad \text{and} \quad “\exists x \in A P(x, \dots)”.$$

By *transfer*, the following are easily proved.

- 1  $A \subseteq B \Leftrightarrow {}^*A \subseteq {}^*B.$
- 2  ${}^*(A \cup B) = {}^*A \cup {}^*B$
- 3  ${}^*(A \cap B) = {}^*A \cap {}^*B$
- 4  ${}^*(A \setminus B) = {}^*A \setminus {}^*B$
- 5  ${}^*(A \times B) = {}^*A \times {}^*B$
- 6  $f : A \rightarrow B \Leftrightarrow {}^*f : {}^*A \rightarrow {}^*B$
- 7 The function  $f$  is 1-1  $\Leftrightarrow$  the function  ${}^*f$  is 1-1
- 8 *etc.*



By *transfer*,  ${}^*\mathbb{R}$  is an *ordered field* where the sum and product operation are the hyper-extensions of the binary functions  $+$  :  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$  and  $\cdot$  :  $\mathbb{R} \times \mathbb{R} \rightarrow \mathbb{R}$ ; and the order relation is the hyper-extension  ${}^*\{(a, b) \in \mathbb{R} \times \mathbb{R} \mid a < b\}$ .

Moreover:

- The *hyper-rational numbers*  ${}^*\mathbb{Q}$  are dense in  ${}^*\mathbb{R}$ .
- Every  $\xi \in {}^*\mathbb{R}$  has an *integer part*, i.e. there exists a unique hyper-integer  $\nu \in {}^*\mathbb{Z}$  such that  $\nu \leq \xi < \nu + 1$ .

and so forth.

As a proper extension of the reals, the hyper-real field  ${}^*\mathbb{R}$  contains **infinitesimal numbers**  $\varepsilon \neq 0$  such that:

$$-\frac{1}{n} < \varepsilon < \frac{1}{n} \quad \text{for all } n \in \mathbb{N}$$

as well as **infinite numbers**

$$|\Omega| > n \quad \text{for all } n \in \mathbb{N}.$$

So,  ${}^*\mathbb{R}$  is *not* Archimedean, and hence it is *not* complete (the bounded set of infinitesimals does not have a least upper bound).

- How about the *transfer principle*?

Here is the correct formalization of the **Archimedean property** in elementary terms:

$$\forall x, y \in \mathbb{R} \quad 0 < x < y \Rightarrow \exists n \in \mathbb{N} \text{ s.t. } n \cdot x > y$$

By *transfer*:

$$\forall \xi, \eta \in {}^*\mathbb{R} \quad 0 < \xi < \eta \Rightarrow \exists \nu \in {}^*\mathbb{N} \text{ s.t. } \nu \cdot \xi > \eta$$

Remark that the above property does not express the *Archimedean property* of  ${}^*\mathbb{R}$ . In fact,  ${}^*\mathbb{N}$  also contains *infinite* numbers.

The **completeness property** of the real numbers:

$$\forall X \in \mathcal{P}(\mathbb{R}) \quad X \text{ nonempty bounded} \Rightarrow \exists r \in \mathbb{R} \quad r = \sup X$$

*transfers to:*

$$\forall X \in {}^*\mathcal{P}(\mathbb{R}) \quad X \text{ nonempty bounded} \Rightarrow \exists \xi \in {}^*\mathbb{R} \quad \xi = \sup X$$

The point is that  ${}^*\mathcal{P}(\mathbb{R})$  is a proper subfamily of  $\mathcal{P}({}^*\mathbb{R})$ .

Sets in  ${}^*\mathcal{P}(\mathbb{R})$  are the “well-behaved” ones. They are called **internal sets**.

# Hyper-finite sets

## Definition

A **hyper-finite** set  $A \subset {}^*\mathbb{R}$  is an element of  ${}^*\{F \subset \mathbb{R} \mid F \text{ is finite}\} \subset {}^*\mathcal{P}(\mathbb{R})$ .

Hyper-finite are a fundamental tool in nonstandard analysis, because they “behave” as *finite sets*. For instance:

- $A$  is *hyper-finite*  $\Leftrightarrow$  there exists an *internal* bijection  $f : \{1, \dots, \nu\} \rightarrow A$  for some  $\nu \in {}^*\mathbb{N}$ .
- Every *hyperfinite set*  $A \subset {}^*\mathbb{R}$  has a least and a greatest element.

(An *internal function* is an element of  ${}^*\{f \subset \mathbb{R} \times \mathbb{R} \mid f \text{ is a function}\}$ .)

## Models of the hyper-reals

*Models* of hyper-real numbers  ${}^*\mathbb{R}$  are easily obtained by algebraic means.

- Take the *ring of real sequences*  $\text{Fun}(\mathbb{N}, \mathbb{R})$ .  
(One may replace  $\mathbb{N}$  with any infinite set of indexes).
- Take a *maximal ideal*

$$\mathfrak{m} \supset \{ \sigma : \mathbb{N} \rightarrow \mathbb{R} \mid \sigma(n) = 0 \text{ for all but finitely many } n \}$$

- Let  ${}^*\mathbb{R}$  be the *quotient field*

$${}^*\mathbb{R} = \text{Fun}(\mathbb{N}, \mathbb{R}) / \mathfrak{m}$$

- The *hyper-extensions* of sets  $A \subseteq \mathbb{R}$  are defined by

$${}^*A = \text{Fun}(\mathbb{N}, A)/\mathfrak{m} \subseteq {}^*\mathbb{R}$$

- The *hyper-extensions* of functions  $f : A \rightarrow B$  are defined by

$${}^*f : [\sigma]_{\mathfrak{m}} \mapsto [f \circ \sigma]_{\mathfrak{m}}$$

Equivalently, the same construction can be presented as an *ultrapower*  $\mathbb{R}^{\mathbb{N}}/\mathcal{U}$  of the real numbers modulo a *non-principal ultrafilter*  $\mathcal{U}$  on  $\mathbb{N}$ .

# The hyper-integers

By *transfer*, one can easily show that the **hyper-integers**  ${}^*\mathbb{Z}$  are a *discretely ordered ring* whose positive part are the **hyper-natural numbers**  ${}^*\mathbb{N}$ .

$${}^*\mathbb{N} = \left\{ \underbrace{1, 2, \dots, n, \dots}_{\text{finite numbers}} \quad \underbrace{\dots, N-2, N-1, N, N+1, N+2, \dots}_{\text{infinite numbers}} \right\}$$

Hyper-integers can be used as a convenient setting for the study of certain *density properties* and certain aspects of *additive number theory*.



By *transfer*, one directly obtains that

An internal set  $A \subset {}^*\mathbb{Z}$  is **hyper-finite**  $\Leftrightarrow A$  is bounded.

In particular, all *intervals* of hyper-integers are hyper-finite:

$$[\mu, \nu] = \{\xi \in {}^*\mathbb{Z} \mid \mu \leq \xi \leq \nu\}$$

Remark that the *hyper-finite* set  $[\mu, \nu]$  contains *infinitely many* numbers when the hyper-natural number  $\nu - \mu$  is infinite.

As a first example of possible uses of *hyper-integers* in combinatorics, let us consider the infinite version of *Ramsey Theorem* (for pairs).

### Theorem (Ramsey 1928 – *Infinite version*)

Let  $X$  be infinite and let  $[X]^2 = C_1 \cup \dots \cup C_r$  be a finite coloring. Then exists an infinite homogeneous  $H \subseteq X$ , i.e. the pairs  $[H]^2 \subseteq C_i$  for some  $i$ .

The following proof uses the *hyper-hyper-natural numbers*  $^{**}\mathbb{N}$ .

## A nonstandard proof of Ramsey theorem

*Proof.*

Pick an infinite  $\nu \in {}^*\mathbb{N}$ . Then  $\{\nu, {}^*\nu\} \in {}^{**}C_i$  for some  $i$ .

$\nu \in \{\xi \in {}^*\mathbb{N} \mid \{\xi, {}^*\nu\} \in {}^{**}C_i\} = {}^*\{n \in \mathbb{N} \mid \{n, \nu\} \in {}^*C_i\} = {}^*A$ .

Pick  $a_1 \in A$ , so  $\{a_1, \nu\} \in {}^*C_i$ . Then

$\nu \in \{\xi \in {}^*\mathbb{N} \mid \{a_1, \xi\} \in {}^*C_i\} = {}^*\{n \in \mathbb{N} \mid \{a_1, n\} \in C_i\} = {}^*B_1$ .

$\nu \in {}^*A \cap {}^*B_1 \Rightarrow A \cap B_1$  is infinite: pick  $a_2 \in A \cap B_1$  with  $a_2 > a_1$ .

$a_2 \in B_1 \Rightarrow \{a_1, a_2\} \in C_i$ .

$a_2 \in A \Rightarrow \{a_2, \nu\} \in {}^*C_i \Rightarrow \nu \in {}^*\{n \in \mathbb{N} \mid \{a_2, n\} \in {}^*C_1\} = {}^*B_2$ .

$\nu \in {}^*A \cap {}^*B_1 \cap {}^*B_2 \Rightarrow$  we can pick  $a_3 \in A \cap B_1 \cap B_2$  with  $a_3 > a_2$ .

$a_3 \in B_1 \cap B_2 \Rightarrow \{a_1, a_3\}, \{a_2, a_3\} \in C_i$ , and so forth.

$H = \{a_n \mid n \in \mathbb{N}\}$  is homogeneous:  $[H]^2 \subset C_i$ .

# Ultrafilters as hyper-integers

In a nonstandard setting, every *hyper-natural number*  $\nu \in {}^*\mathbb{N}$  generates an *ultrafilter*:

$$\mathcal{U}_\nu = \{A \subseteq \mathbb{N} \mid \nu \in {}^*A\}$$

## Definition

An **ultrafilter**  $\mathcal{U}$  on  $\mathbb{N}$  is a family of subsets of  $\mathbb{N}$  such that:

- 1  $\mathbb{N} \in \mathcal{U}, \emptyset \notin \mathcal{U}$ ;
- 2  $A \in \mathcal{U}, B \in \mathcal{U} \Rightarrow A \cap B \in \mathcal{U}$ ;
- 3  $A \in \mathcal{U}, A \subseteq B \Rightarrow B \in \mathcal{U}$ .
- 4  $A_1 \cup \dots \cup A_n \in \mathcal{U} \Rightarrow A_i \in \mathcal{U}$  for some  $i$ .

By suitably choosing the nonstandard model:

Every ultrafilter is generated by some number  $\nu \in {}^*\mathbb{N}$ .

(It takes the so-called  $\mathfrak{c}^+$ -*enlarging property*, a form of *saturation*).

So, in a nonstandard setting, every ultrafilter is a “*principal*” ultrafilter!

A celebrated theorem in combinatorics of numbers is the following:

### Theorem (Hindman 1974)

*For every finite coloring of  $\mathbb{N}$  there exists an infinite  $X$  such that all sums of distinct elements of  $X$  are monocromatic.*

The original proof consisted in really intricate combinatorial arguments.

*“Anyone with a very masochistic bent is invited to wade through the original combinatorial proof.” (Neil Hindman)*

The very next year, Galvin and Glazer found an elegant (and much “simpler”) proof by using a strange algebra on the *space of ultrafilters*  $\beta\mathbb{N}$  over the natural numbers.

That proof is grounded on ultrafilters  $\mathcal{U} = \mathcal{U} \oplus \mathcal{U}$  that are **idempotent** with respect to a “pseudo-sum” operation:

$$A \in \mathcal{U} \oplus \mathcal{V} \iff \{n \mid A - n \in \mathcal{V}\} \in \mathcal{U}$$

where  $A - n = \{m \mid m + n \in A\}$

The existence of *idempotent ultrafilters* follows from iterated applications of *Zorn's lemma* in the *compact topological right semi-group*  $(\beta\mathbb{N}, \oplus)$ . *Idempotent ultrafilters* yield important applications in combinatorics. *E.g.*

Let  $\mathcal{U}$  be an *idempotent* ultrafilter. Then

- 1 Every  $A \in \mathcal{U}$  includes the set of all sums of distinct elements of some infinite set.
- 2 If  $\mathcal{U}$  is "minimal" then every  $A \in \mathcal{U}$  contains *arbitrarily long arithmetic progressions*.

(1)  $\Rightarrow$  **Hindman theorem**

(2)  $\Rightarrow$  **van der Waerden theorem**



## Nonstandard characterization

An ultrafilter  $\mathcal{U}$  is *idempotent* if and only if there exists  $\nu \in {}^*\mathbb{N}$  such that

$$\mathcal{U} = \mathfrak{U}_\nu = \mathfrak{U}_{\nu+{}^*\nu}$$

Note that  $\nu + {}^*\nu \in {}^{**}\mathbb{N}$ .

Also numbers  $\theta \in {}^{**}\mathbb{N}$  or in  ${}^{***}\mathbb{N}$  and so forth generate ultrafilters:

$$\mathfrak{U}_\theta = \{A \subseteq \mathbb{N} \mid \theta \in {}^{**}A\}$$

The above characterization makes it possible to handle *linear combinations of idempotent ultrafilters* in a manageable manner.

## Theorem (Bergelson-Hindman 1990)

*Let  $\mathcal{U}$  be an idempotent ultrafilter. Then every  $A \in 2\mathcal{U} \oplus \mathcal{U}$  contains an arithmetic progression of length 3.*

The nonstandard proof reduces to the following simple observation.

If  $\nu$  is such that  $\mathcal{U} = \mathfrak{U}_\nu = \mathfrak{U}_{\nu+^*\nu}$  then

- $\xi = 2\nu + 0 + **\nu$
- $\zeta = 2\nu + ^*\nu + **\nu$
- $\vartheta = 2\nu + 2^*\nu + **\nu$

form an *arithmetic progression* of length 3 in  $***\mathbb{N}$ , and

$$\mathfrak{U}_\xi = \mathfrak{U}_\zeta = \mathfrak{U}_\vartheta = 2\mathcal{U} \oplus \mathcal{U}$$

Then for every  $A \in 2\mathcal{U} \oplus \mathcal{U}$ , the numbers  $\xi, \zeta, \vartheta \in ***A$  and so, by *transfer*, there exist 3 elements in  $A$  in arithmetic progression.

More generally, one obtains the following:

### Theorem

*Let  $\mathcal{U}$  be any given idempotent ultrafilter. Then for every diophantine equation*

$$c_1 X_1 + \dots + c_n X_n = 0$$

*where  $\sum_{i=1}^n c_i = 0$  there exists a linear combination*

$$\mathcal{W} = \mathcal{U} \oplus a_1 \mathcal{U} \oplus \dots \oplus a_{n-2} \mathcal{U}$$

*such that an **injective** solution  $\xi_1, \dots, \xi_n$  is found in every  $A \in \mathcal{W}$ .*

As a straight consequence, one gets an *ultrafilter proof* of a restricted version of *Rado theorem*.

### Corollary

Let  $c_1X_1 + \dots + c_nX_n = 0$  be a diophantine equation where  $\sum_{i=1}^n c_i = 0$ . Then for every finite coloring of  $\mathbb{N}$  there exists an *injective monochromatic solution*.

*Proof.* By working in a hyper-hyper-...-hyper-extension of  $\mathbb{N}$ , one find suitable coefficients  $a_i$  and distinct generators  $\xi_1, \dots, \xi_n$  of the same ultrafilter  $\mathcal{U} \oplus a_1\mathcal{U} \oplus \dots \oplus a_{n-2}\mathcal{U}$  that form a solution.

This technique can also be applied to certain *non-linear* equations.  
(*Work in progress with L. Luperi*)

# Largeness notions for sets of integers

We now recall a few basic notions of “largeness” for sets of integers, along with their *nonstandard* characterizations:

## Definition

$A$  is **thick** if for every  $k$  there exists  $x$  such that  $[x, x + k] \subseteq A$ .

## Definition (Nonstandard)

$A$  is **thick** if there exists an *infinite interval*  $[\nu, \mu] \subseteq {}^*A$ .

## Definition

$A$  is **syndetic** if there exists  $k \in \mathbb{N}$  such that every interval  $[x, x + k] \cap A \neq \emptyset$ . (That is, if  $A^c$  is *not* thick.)  
Equivalently, there exists a finite  $F$  such that  $F + A = \mathbb{Z}$ .

## Definition (Nonstandard)

$A$  is **syndetic** if  ${}^*A$  has only *finite gaps*,  
i.e.  ${}^*A \cap I \neq \emptyset$  for every infinite interval  $I$ .

## Definition

$A$  is **piecewise syndetic** if  $A = B \cap C$  where  $B$  is *thick* and  $C$  is *syndetic*.

Equivalently, there exists a finite  $F$  such that  $F + A$  is thick.

## Definition (Nonstandard)

$A$  is **piecewise syndetic** if  ${}^*A$  has only *finite gaps* on some *infinite interval*.



In every finite partition  $A = C_1 \cup \dots \cup C_r$  of a *piecewise syndetic* set, one of the pieces  $C_i$  is *piecewise syndetic*.

*Nonstandard proof.*

By induction, it is enough to check the property for 2-partitions  $A = \text{BLUE} \cup \text{RED}$ .

By *transfer*,  ${}^*A = {}^*\text{BLUE} \cup {}^*\text{RED}$  is a 2-partition. Pick an infinite interval  $I$  where  ${}^*A$  has only finite gaps.

If the  ${}^*\text{blue}$  elements of  ${}^*A$  has only finite gaps in  $I$ , then  $\text{BLUE}$  is piecewise syndetic.

Otherwise, there exists an infinite interval  $J \subseteq I$  that only contains  ${}^*\text{red}$  elements of  ${}^*A$ . But then  ${}^*\text{RED}$  has only finite gaps in  $J$ , and hence  $\text{RED}$  is piecewise syndetic.

An important “measure” of largeness is given by the asymptotic density.

### Definition

The **upper asymptotic density** of a set  $A \subseteq \mathbb{N}$  is defined by

$$\bar{d}(A) = \limsup_{n \rightarrow \infty} \frac{|A \cap [1, n]|}{n}$$

Let  $\alpha$  be a non-negative real number. The following are equivalent:

- 1  $\bar{d}(A) \geq \alpha$
- 2  $\frac{|{}^*A \cap [1, \nu]|}{\nu} \approx \alpha$  for some infinite  $\nu \in {}^*\mathbb{N}$ .

A useful generalization of the *upper asymptotic density* is the following.

### Definition

The **Banach density** of a set  $A \subseteq \mathbb{Z}$  is defined by

$$\text{BD}(A) = \lim_{n \rightarrow \infty} \left( \max_{k \in \mathbb{Z}} \frac{|A \cap [k+1, k+n]|}{n} \right)$$

- $\text{BD}(A) = 1 \Leftrightarrow A$  is *thick*.

Clearly  $\text{BD}(A) \geq \bar{d}(A)$

(In fact, there are sets thick sets  $A$  with  $\bar{d}(A) = 0$ .)

## Definition

$B$  is a **basis** of order  $h$  if

$$\underbrace{B + \dots + B}_{h \text{ times}} = h \cdot B = \mathbb{N}$$

A classic result in additive number theory is the following.

## Theorem (Plünnecke 1970)

*If  $B$  is a basis of order  $h$  (i.e. if  $\sigma(h \cdot B) = 1$ ) then  $\sigma(A + B) \geq \sigma(A)^{1 - \frac{1}{h}}$  for all  $A$ .*

By applying Plünnecke's theorem jointly with methods of *nonstandard analysis*, recently Jin proved the following

### Theorem (Jin 2009)

- 1 If  $B$  is a **Banach basis** of order  $h$  (i.e. if  $BD(h \cdot A) = 1$ ) then  $BD(A + B) \geq BD(A)^{1 - \frac{1}{h}}$  for all  $A$ .
- 2 If  $B$  is a **lower asymptotic basis** of order  $h$  (i.e. if  $\underline{d}(h \cdot A) = 1$ ) then  $\underline{d}(A + B) \geq \underline{d}(A)^{1 - \frac{1}{h}}$  for all  $A$ .

**Remark.** The same result does not hold for the *upper asymptotic density*  $\overline{d}$ .

The proof is derived from the following *nonstandard characterizations*, which provide a bridge connecting *Banach density*, *Schnirel'man density*, *lower asymptotic density* and the *upper asymptotic density*.

## Theorem

Let  $A$  be a set of natural numbers and let  $0 \leq \alpha \leq 1$ .

The following are equivalent:

- ①  $BD(A) \geq \alpha$
- ②  $\sigma_\nu(*A) = \inf_{n \in \mathbb{N}} \frac{|*A \cap [\nu+1, \nu+n]|}{n} \geq \alpha$  for some  $\nu \in * \mathbb{N}$ .
- ③  $d_\nu(*A) = \liminf_{n \rightarrow \infty} \frac{|*A \cap [\nu+1, \nu+n]|}{n} \geq \alpha$  for some  $\nu \in * \mathbb{N}$ .
- ④  $\bar{d}_\nu(*A) = \limsup_{n \rightarrow \infty} \frac{|*A \cap [\nu+1, \nu+n]|}{n} \geq \alpha$  for some  $\nu \in * \mathbb{N}$ .

The generalization of Plünnecke's theorem for lower asymptotic density needs following stronger nonstandard property, obtained by applying *Birkoff Ergodic Theorem*.

If  $\text{BD}(A) = \alpha$  and  $I$  is an infinite interval such that  $\frac{|{}^*A \cap I|}{|I|} \approx \alpha$ , then for "almost all"  $\nu \in I$ :

$$\underline{d}_\nu({}^*A) = \liminf_{n \rightarrow \infty} \frac{|{}^*A \cap [\nu + 1, \nu + n]|}{n} = \alpha$$

# Jin's theorem

Let us go back...

About ten years ago, by using the methods of **nonstandard analysis**, Renling Jin proved an interesting results about sum sets.

Theorem (R.Jin 2000)

*If  $A$  and  $B$  are sets of integers with positive upper Banach density, then  $A + B$  is piecewise syndetic.*



- Jin's result raised the attention of people in additive number theory. But they did not understand his **nonstandard** proof.
- Jin's himself then published a "standard" proof, which was a direct translation of the original *nonstandard* arguments. But it was awkward and complicated.
- In 2006, by using **ergodic theory**, Bergelson, Furstenberg and Weiss re-proved Jin's theorem in strengthened form, by showing that  $A + B$  must be *piecewise Bohr*.
- In 2009, again by **ergodic theory**, Griesmer generalized BFW's result to cases where one of the two sets has null Banach density.
- Last year, Beiglböck found a nice proof of Jin's theorem by using **ultrafilters** and some **measure theory**.

Inspired by Beiglböck's ultrafilter argument, I went back to the nonstandard setting of hyper-integers, and tried to simplify Jin's original proof. The following is a crucial step:

If  $BD(A) = \alpha > 0$  and  $BD(B) = \beta > 0$ , then there exists  $\nu \in {}^*\mathbb{N}$  and an infinite interval  $I$  such that

$$\frac{|(\nu + {}^*A) \cap {}^*B \cap I|}{|I|} \approx \alpha \cdot \beta.$$

The above property is obtained by applying a simple combinatorial property of finite sets to a suitable *hyper-finite* interval  $I$ .

- PROBLEM:

There is no “standard” meaning for the *infinite* translation  $\nu + {}^*A$ .

However, a related notion turns out to be appropriate.

### Definition

$X \triangleleft Y$  (read  $X$  is **finitely embeddable** in  $Y$ ) if  $Y$  contains a copy of every finite configuration of  $X$ . That is, every finite  $F \subseteq X$  has a translated copy  $k + F \subseteq Y$ .

If  $X \triangleleft Y$ , then

- $X$  piecewise syndetic  $\Rightarrow Y$  piecewise syndetic.
- $X$  contains a  $k$ -term arithmetic progression  $\Rightarrow Y$  contains a  $k$ -term arithmetic progression.
- $X$  thick  $\Rightarrow Y$  thick.
- $BD(X) \leq BD(Y)$ .

The following *nonstandard characterization* holds:

- $X \triangleleft Y$  if and only if  $\nu + X \subseteq {}^*Y$  for some  $\nu \in {}^*\mathbb{N}$ .

In the early versions of his paper, Renling Jin asked whether one can estimate the number  $k$  needed for  $A + B + [0, k]$  to be thick, in terms of  $BD(A)$  and  $BD(B)$ .

He later proved that such a  $k$  *does not* directly depend on  $BD(A)$  and  $BD(B)$ . In fact:

For any  $\alpha + \beta < 1$  and for every  $k \in \mathbb{N}$ , there exist sets  $A, B$  s.t.

- 1  $BD(A) > \alpha$
- 2  $BD(B) > \beta$
- 3  $A + B + [0, k]$  is not thick.

However, if one takes *arbitrary* finite sets  $F$  in place of initial segments  $[0, k]$ , a bound can be given.

## Theorem

Assume that  $BD(A) = \alpha > 0$  and  $BD(B) = \beta > 0$ . Then for every infinite  $X$  there exist a finite subset  $F \subset X$  such that

- 1  $|F| \leq \lfloor 1/\alpha\beta \rfloor$
- 2  $X \triangleleft A + B + F$ .

By taking  $X = \mathbb{N}$  (or any other thick set) we obtain the following

## Corollary (Jin – with bound)

Let  $BD(A) = \alpha > 0$ ,  $BD(B) = \beta > 0$  and  $k = \lfloor 1/\alpha\beta \rfloor$ . Then  $A + B$  is piecewise  $k$ -syndetic, i.e.  $A + B + F$  is thick for some finite set  $|F| \leq k$ .

# Conclusions

- Certain *ultrafilter techniques* can be conveniently accommodated in a nonstandard setting.  
In fact, there is a natural way of identifying ultrafilters with the *hyper-integers* of nonstandard analysis.
- *Ultralimits* and *ultraproducts techniques* are also naturally accommodated in the setting of nonstandard analysis.  
(I did not have time to discuss about this...)
- In the setting of hyper-integers, one can directly use *finite* combinatorial arguments (with some caution!) and prove results about *infinite* sets which depends on their *density*.

**THANK YOU**

**for your attention**