### Encodable by thin sets

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## $RT^n_{<\infty,l}$ -encodable

- Let *c* be a coloring of all finite sets of size *n* (all subsets of *ω*) by finitely many colors, not necessarily computable.
- A set *T* is *l*-thin iff *c* uses at most *l* colors to color all the sets of size *n* from *T* and *T* is infinite. So  $|c([T]^n)| \le l$ .
- A set *S* is  $RT^n_{<\infty,l}$ -encodable iff there is a coloring *c* (as above) such that every *l*-thin set *T* computes *S*, i.e.  $S \leq_T T$ .

#### Question

What sets are  $RT^{n}_{<\infty,l}$ -encodable?  $RT^{2}_{2,1}$ ?  $RT^{1}_{2,1}$ ?  $RT^{3}_{5,4}$ ?  $RT^{4}_{14,13}$ ?

## $RT^n_{<\infty,l}$ -encodable sets are always hyperarithmetic.

- Assume *c* witness that *S* is  $RT^n_{<\infty,l}$ -encodable.
- Given X there is an infinite thin set *H* for *c* such that  $H \subseteq X$ .
- A set *S* is *computably encodable* if for every infinite set *X*, there is an infinite subset *H* of *X* such that *H* computes *S*.
- By theorems of Jockusch and Soare and Solovay, the computably encodable sets are exactly the hyperarithmetic sets.

# The $RT^2_{<\infty,1}$ -encodable sets includes all hyperarithmetic sets

- The 1-thin sets are exactly the homogenous sets.
- (Solovay) *S* is hyperarithmetical iff *S* has a *modulus*, i.e. a function *g* such that, for all functions *h*, if  $g \le h$  then  $S \le_T h$ .
- The interval [x, y] is *g*-large iff g(x) < y.
- c(x, y) = 1 iff [x, y] is *g*-large. (An unbalanced coloring.)
- Let *H* be a homogenous set for *c*. Fix *x* ∈ *H*. Then, for almost all *y* ∈ *H*, [*x*, *y*] is *g*-large. So, for all *y* ∈ *H*, [*x*, *y*] is *g*-large.
- Hence  $g \leq_T p_H$ .

For every hyperarithmetical set *S* there is a coloring (of the same Turing degree as *S*) such that every homogenous set computes *S*.

## The $RT^n_{<\infty,l}$ -encodable sets, for $l < 2^{n-1}$

Theorem (Dorais, Dzhafarov, Hirst, Mileti, Shafer) For  $l < 2^{n-1}$ , the  $RT^n_{<\infty,l}$ -encodable sets are exactly the hyperarithmetic sets.

Again code in a modulus into all thin sets of a coloring.

For  $2^{n-1} \le l$ , the coding does not work. In particular, for n = 3 and l = 4, the coding does not work.

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## $RT_{2,1}^1$ -encodable

Theorem (Dzhafarov and Jockusch) Only the computable sets are  $RT_{2,1}^1$ -encodable.

Let  $c : \omega \to 2$ . Let  $A = c^{-1}(1)$ .

Theorem (Dzhafarov and Jockusch)

*Given A and a noncomputable X*. *There an infinite G such that*  $X \not\leq_T G$  *and either*  $G \subseteq A$  *or*  $G \subseteq \overline{A}$ .

We will work forward the proof of this theorem over next few slides.

#### Definition (Strong Cone Avoidance)

Given a problem *P* and a noncomputable set *X*, there is a solution *S* that  $X \not\leq_T S$ .

#### Corollary

 $RT_{2,1}^1$  satisfies strong cone avoidance.  $RT_{2,1}^2$  does not.

## WKL

#### Theorem

*Let*  $T \subseteq 2^{<\omega}$  *be infinite tree. Then T has an infinite path.* 

#### Lemma

A tree is finite iff there is an l such that for all  $\sigma \in 2^l$ ,  $\sigma \notin T$ . This is  $\Sigma_1^T$  or c.e. in T. Moreover this is uniform.

Given *X* the characteristic function of *X* is a tree with a single path *X*. So *WKL* does not satisfy strong cone avoidance.

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## Cone Avoidance

#### Definition (Cone Avoidance)

Given a problem *P* and a set  $X \not\leq_T P$ , there is a solution *S* that  $X \not\leq_T S$ .

#### Theorem (Relativized to *I*)

WKL satisfy cone avoidance. I.e. for all infinite trees  $T \leq_T I$  and all  $X \not\leq_T I$ , there is a path Z such that  $X \not\leq_T Z \oplus I$ .

## Forcing – Infinite trees and generic paths

The forcing conditions are the infinite trees  $\tilde{T}$  such that  $\tilde{T} \subseteq T$  and  $\tilde{T} \leq_T I$ . The forcing extension is inclusion. A set of conditions is dense if every condition can be extended into the dense set. A object *G* (here a tree) is sufficiently generic if it is the limit of conditions meeting enough dense sets.

#### Lemma

For all k, the set of subtrees such that almost all nodes in the subtree extend some finite segment of size  $n \ge k$  is dense. So a generic tree is a path though T.

## Cone Avoidance

Let  $\Phi$  be a Turing functional. Enough to extend a condition  $\tilde{T}$  to force  $\Phi^{G \oplus I} \neq X$ .

- Non commitment: For some *n*, the subtree  $\{\sigma \in \tilde{T} \mid \Phi^{\sigma \oplus I}(n) \uparrow\}$  is infinite. Then, by finite use principle,  $\Phi^{G \oplus I}(n) \uparrow$ . This tree is computable in *I*.
- **Commitment:** There is a *n* and  $\sigma \in \tilde{T}$  such that  $\Phi^{\sigma \oplus I}(n) \neq X(n)$  and the subtree  $\{\tau \in \tilde{T} \mid \tau \preceq \sigma \text{ or } \sigma \preceq \tau\}$  is infinite.
- **Otherwise**. Then, for all *n*, there is an *l* such that, for all  $\sigma \in \tilde{T} \cap 2^l$ ,  $\Phi^{\sigma \oplus l}(n) \downarrow = X(n)$ . Therefore  $X \leq_T I$ . Contradiction.

## Back to $RT_{2,1}^1$

#### Theorem

*Given A and noncomputable X*. *There a G such that either*  $X \not\leq_T G \cap A$  *and*  $G \cap A$  *is infinite or*  $X \not\leq_T G \cap \overline{A}$  *and*  $G \cap \overline{A}$  *is infinite.* 

Use conditions (F, I) where F is finite, I is infinite, max  $F < \min I$ , and  $X \not\leq_T I$ .  $(\tilde{F}, \tilde{I})$  extends (F, I) if  $F \subseteq \tilde{F} \subseteq F \cup I$ and  $\tilde{I} \subseteq I$ . WLOG  $I \cap A$  and  $I \cap \overline{A}$  are both infinite. With enough genericity, both  $G \cap A$  and  $G \cap \overline{A}$  are infinite.

## Strong Cone Avoidance of $RT_{2,1}^1$

Must extend (F, I) to show either  $\Phi^{G \cap A} \neq X$  or  $\Psi^{G \cap \overline{A}} \neq X$ .

#### Definition

Let  $P_{n,k}$  be the tree of  $Z \subseteq I$  such that there is no  $E \subseteq Z$  with  $\Phi^{(F \cap A) \cup E}(n) \downarrow = k$  and no  $E \subseteq (I - Z)$  with  $\Psi^{(F \cap \overline{A}) \cup E}(n) \downarrow = k$ .

#### Lemma

*These trees are uniformly computable in* (*F*, *I*). So  $P_{n,k} \leq_T I$ .

**Commitment:** For some *n* and  $k \neq X(n)$ ,  $P_{n,k}$  is finite. So  $I \cap A \notin P_{n,k}$ . Extend.

### Non commitment

Let  $S = \{(n,k) | P_{n,k} \text{ is finite}\}$ . *S* is c.e. in (F,I). For all *n*, (n, 1 - X(n)) not in *S*. If, for all *n*, (n, X(n)) in *S*, then *X* is computable from (F,I) or just *I*. Since  $X \not\leq_T I$ , there must be an (n, X(n)) not in *S*.

Fix such an *n*.  $P_{n,X(n)}$  is infinite. Use **cone avoidance of WKL** to find a  $Z \in P_{n,X(n)}$  such that  $X \not\leq_T Z \oplus I$ . If *Z* is infinite extend to (F, Z). Otherwise use (F, I - Z).

This is called *thinning the reservoir*.

## The $RT^n_{<\infty,l}$ -encodable sets, for large *l*

#### Theorem (Wang)

For big l (in terms of n), the  $RT^n_{<\infty,l}$ -encodable sets are exactly the computable sets. For n = 2, l = 2 and, for n = 3, l = 5.

Use the *strong cone avoiding* of  $RT^n_{<\infty,l'}$  for big *l*.

This is an inductive forcing proof and relies on (strong) cone avoiding of earlier and other principles, like *COH*, *WKL*,  $RT^{1}_{<\infty,1}$ ,  $RT^{2}_{3,1}$ , etc. Use Mathias like conditions like we used above.

## A recap for n = 1, 2, 3

Only the computable sets are  $RT^1_{<\infty,1}$ -encodable. Same for  $RT^2_{<\infty,2}$ -encodable and  $RT^3_{<\infty,5}$ -encodable.

The hyperarithmetic sets are  $RT^2_{<\infty,1}$ -encodable and same for  $RT^3_{<\infty,3}$ -encodable.

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What about  $RT_{5,4}^3$ ?

## Strong Nonarithmetical Cone Avoidance

#### Definition (Strong Nonarithmetical Cone Avoidance)

Given a problem *P* and a set *X* not arithmetical, there is a solution *S* that  $X \not\leq_T S$ .

#### Theorem

 $RT_{5,4}^3$  satisfies strong nonarithmetical cone avoidance. So does  $RT_{<\infty,2^{n-1}}^n$ .

Very carefully choose the reservoir.

## $RT_{5,4}^3$ -encodable

## Corollary

At best only the arithmetic sets are  $RT_{5,4}^3$ -encodable.

Another coding is needed to code the arithmetic sets. By necessity this coding will also provide a counterexample to strong cone avoiding.

#### Theorem

*There is a*  $\Delta_2^0$  *coloring*  $c : [\omega]^3 \to 5$  *such that every* 4*-thin set for c computes* 0'.

## Our first attempt

Let g be a modulus of 0'.

- Recall [a, b] is *g*-large iff  $g(a) \le b$ . Otherwise it is *g*-small.
- Let i(x, y) = 1 if [x, y] is *g*-large and 0 otherwise.
- Let  $c(x, y, z) = \langle i(x, y), i(y, z), i(x, z) \rangle$ . This is a 5 coloring, some colors are missing.
- Apply  $RT_{5,4}^3$  to *c* to get a thin set *T*.
- If any color but ⟨0,0,1⟩ is missed, *T* or a reduction of *T* has all *g*-large intervals and hence computes 0′. The principal function dominates the modulus for 0′.

• Need to learn more about missing the color (0, 0, 1).

## GAP

A set *H* is *g*-transitive iff, for all x < y < z in *H*, if [x, y] and [y, z] are *g*-small so is [x, z]. GAP is the statement that, for all *g*, an infinite *g*-transitive set exists. So the existence of a 4-thin set (when colored as above) without the color (0, 0, 1).

#### Theorem

GAP satisfies strong cone avoidance. Hence the above coloring does not show the arithmetic sets are  $RT_{5,4}^3$ -encodable. Also GAP follows from  $RT_{2,1}^2$ .

Perhaps should known this coding would fail since all hyperarithmetic sets have a modulus not just arithmetic sets. Needed to use some fact about non hyperarithmetic arithmetic sets.

# Back to $RT_{5,4}^3$ and coding left c.e. increasing functions

Refine the above coloring *c*. We need to make it harder to avoid the color (0,0,1). So we have to color more triples with color (0,0,1) and less with color (0,0,0).

The modulus g of 0' is a left c.e. increasing function with approximations  $g_0, g_1, \ldots$  (the approximations are increasing).

- Define j(x, y, z) is 1 iff [x, z] is *g*-large or [x, y] is  $g_z$ -large.
- Let  $c(x, y, z) = \langle i(x, y), i(y, z), j(x, y, z) \rangle$ . A 5 coloring.
- Apply  $RT_{5,4}^3$  to *c* to get a thin set *T*.
- For all possible missed colors, a reduction of *T* has all *g*-large intervals and hence computes 0'.

#### Theorem

*c* is  $\Delta_2^0$  and every 4-thin set for *c* computes 0'.

## Bounds for n > 3, Part I

Theorem (Dorais, Dzhafarov, Hirst, Mileti, Shafer) For  $l < 2^{n-1}$ , the  $RT^n_{<\infty,l}$ -encodable sets are exactly the hyperarithmetic sets.

Theorem

 $RT^n_{<\infty,2^{n-1}}$  satisfies strong nonarithmetical cone avoidance.

Theorem (Wang)

*For big l (in terms of n),*  $RT^n_{<\infty,l}$  *satisfies strong cone avoidance.* 

What is the definition of "big"?

## Bounds for n > 3, Part II

## Definition Let $l_1 = 1$ and $l_{n+1} = l_n + \sum_{i \in \{1,...,n-1\}} l_{n-i} \cdot l_i + \sum_{i < j \in \{1,...,n-1\}} l_{n-j} \cdot l_i \cdot 2^{j-i-1}$ ..

## Theorem $RT^n_{<\infty,l_{n+1}}$ satisfies strong cone avoidance.

#### Definition

Let  $d_0 = 1$  and  $d_{n+1} = d_n + \sum_{i=1}^n d_{n-i} \cdot 2^{i-1}$ .

#### Theorem

For all *n*, there is a  $\Delta_2^0$  coloring of  $[\omega]^n$  such that every  $d_n - 1$ -thin set computes 0'.

## **Remaining Questions**

- $l_5 = 14 > d_4 = 13$ .
- What sets are  $RT_{14,13}^4$  encodable? At best the arithmetic sets. At worst the computable sets.
- Is there a Δ<sub>2</sub><sup>0</sup> coloring of [ω]<sup>4</sup> such that every 13-thin set computes 0'?

• For n > 4,  $l_{n+1} > d_n$ .

## Computable Coding via a Modulus

#### Question

How necessary is it to code via the use of a modulus?

#### Theorem

Fix a function g. Let f be a computable instance of  $RT_{k+1,k}^n$  such that every infinite k-thin set computes a function dominating g. Then for every infinite f-thin set H,  $p_H$  is a modulus for g.

Our coding examples are not computable but arithmetic. Using the Limit Lemma they can be reflected into computable colorings with the same properties.

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## Arbitrary Coding via a Modulus

#### Theorem (Liu and Patey)

Fix a function g. Let f be an instance of  $RT_{k+1,k}^n$  such that every infinite k-thin set computes a function dominating g via some fixed Turing functional. Then for every infinite f-thin set H,  $p_H$  is a uniform modulus for g.

For l = 1, our coding examples for  $RT^n_{<\infty,l}$  are uniform. But for larger *l* they are uniform in the missed color. Can hypothesis of the above theorem be weaken to reflect this uniformity?

#### Lemma (Liu and Patey)

*There is an instance for*  $RT_{2,1}^2$  *all of those homogenous sets compute* 0' *but by not computing a function dominating a modulus for* 0'.