# File Management

Chapter 12

# File System Properties

- Long-term existence
- Sharable between processes
- Structure

# File Operations

- Create
- Delete
- Open
- Close
- Read
- Write

#### Terms Used with Files

- Field
  - Basic element of data
  - Contains a single value (e.g. student last name, date of birth..)
  - Characterized by its length and data type
  - Fixed or variable length
- Record
  - Collection of related fields
  - Fixed or variable length (if contains fields of variable length)
  - Treated as a unit
    - Example: student record

#### Terms Used with Files

#### File

- Collection of similar records
- Treated as a single entity
- Have file names
- Access control apply at file level

#### Database

- Collection of related data
- Relationships exist among elements
- Consists of one or more file types

# **Typical Operations**

- Retrieve All
   records of file
- Retrieve\_One—record
- Retrieve\_Next—logically after the most recently retreived record
- Retrieve Previous
- Insert One
- Delete One
- Update\_One
- Retrieve\_Few—that sattisfy a certain criteria

# File Management Systems

- The way a user or application may access files
- Programmer does not need to develop file management software

# Objectives for a File Management System

- Meet the data management needs and requirements of the user
- Guarantee that the data in the file are valid
- Optimize performance
- Provide I/O support for a variety of storage device types

# Objectives for a File Management System

- Minimize or eliminate the potential for lost or destroyed data
- Provide a standardized set of I/O interface routines
- Provide I/O support for multiple users

# Minimal Set of Requirements

- Each user should be able to create, delete, read, write and modify files
- Each user may have controlled access to files of others
- Each user may control what type of accesses are allowed to his own files
- Each user should be able to restructure the user's files in a form appropriate to the problem

# Minimal Set of Requirements

- Each user should be able to move data between files
- Each user should be able to back up and recover the user's files in case of damage
- Each user should be able to access the user's files by using symbolic names

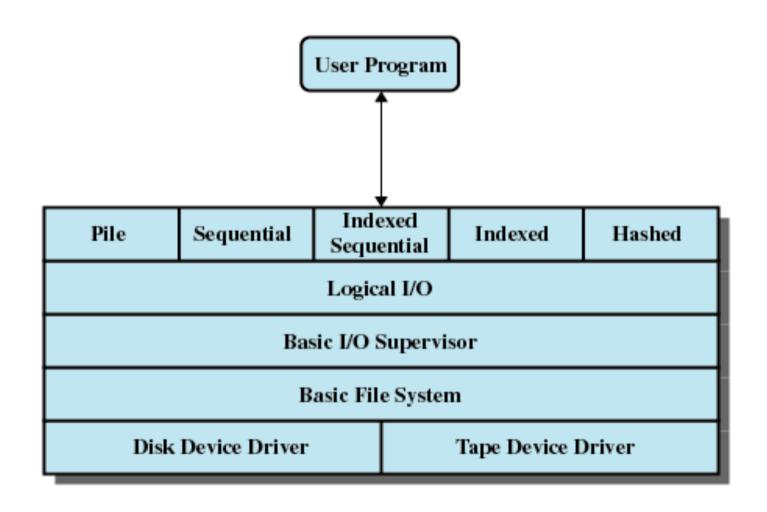


Figure 12.1 File System Software Architecture

#### **Device Drivers**

- Lowest level
- Communicates directly with peripheral devices
- Responsible for starting I/O operations on a device
- Processes the completion of an I/O request

# Basic File System

- Physical I/O
- Deals with exchanging blocks of data
- Does not understand the content or structure of data
- Concerned with the placement of blocks
- Concerned with buffering blocks in main memory

## Basic I/O Supervisor

- Responsible for file I/O initiation and termination
- Control structures are maintained
- Concerned with selection of the device on which file I/O is to be performed
- Concerned with scheduling access to optimize performance
- Part of the operating system

# Logical I/O

- Enables users and applications to access records
- Provides general-purpose record I/O capability
- Maintains basic data about file

#### **Access Method**

- Provides standard interfaces btw applications and filesystems & devices
- Reflect different file structures
- Different ways to access and process data

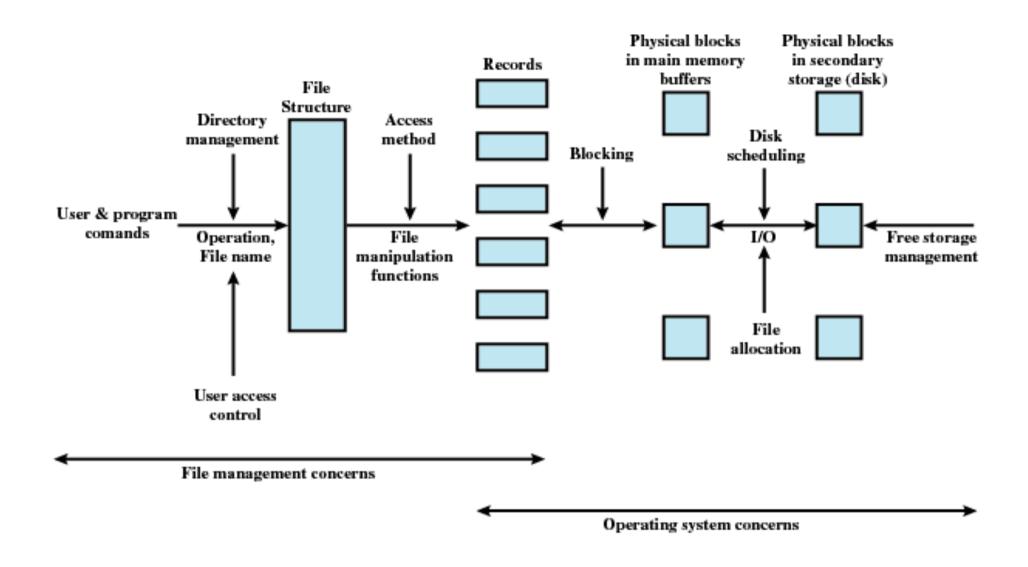


Figure 12.2 Elements of File Management

### File Directories

- Contains information about files
  - Attributes
  - Location
  - Ownership
- Directory itself is a file owned by the operating system
- Provides mapping between file names and the files themselves

# Elements of a File Directory

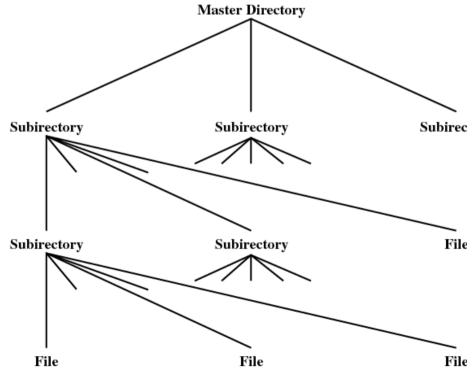
- Basic Info
  - File Name, type, organization
- Address Information
  - Volume (storage device)
  - Starting Address—physical address on secondary storage (e.g. track & block number)
  - Size used (words/bytes/blocks) and allocated (max size)
- Access Control Information
  - Owner, Access Info (user name &passwd for each user),
    Permitted actions (R/W/X, NW transmission)
- Usage Information
  - Date Created/Last Read Access/Last Modified/Last Backup with corresponding user identities
  - Current usage (processes that are accessing it and how, possible locks, updates in MM but not in disk)

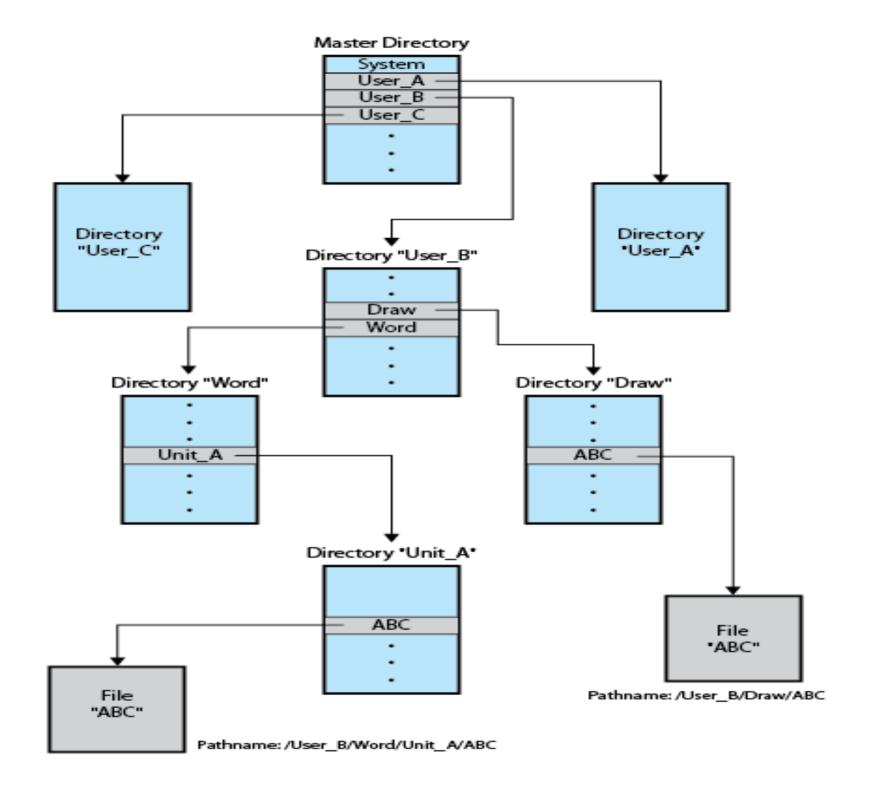
### Two-level Scheme for a Directory

- One directory for each user and a master directory
- Master directory contains entry for each user
  - Provides address and access control information
- Each user directory is a simple list of files for that user
- Problems with structuring (list by type, date created/ modified and so on/ listing only some filetypes e.g. .pdfs/ all names need to be unique)

# Tree-Structured Directory

- Master directory with user directories underneath
- Each user directory may have subdirectories and files as entries
- Files->paths
- Same filename allowed;
- Current dir is working dir
- Files referenced rel. to working dir





## Secondary Storage Management

- Space must be allocated to files
- Must keep track of the space available for allocation

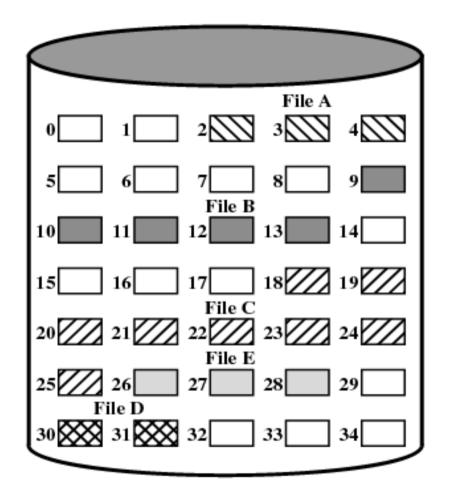
## Preallocation vs Dynamic allocation

#### Preallocation:

- Need the maximum size for the file at the time of creation
- Difficult to reliably estimate the maximum potential size of the file
- Tend to overestimated file size so as not to run out of space
- Dynamic allocation: File portions
  - block division vs entire file
  - Fixed portions vs dynamic portions

### Methods of File Allocation

- Contiguous allocation
  - Single set of blocks is allocated to a file at the time of creation
  - Only a single entry in the file allocation table
    - Starting block and length of the file
- External fragmentation will occur
  - Need to perform compaction

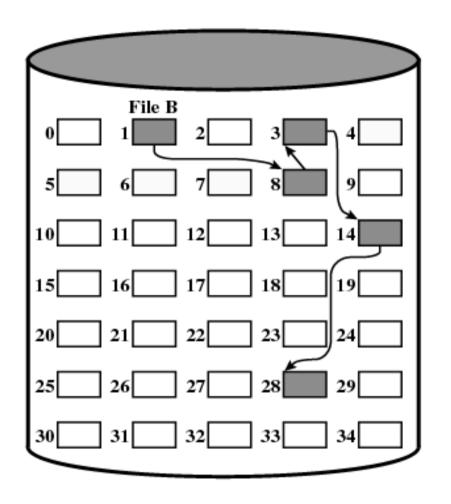


File Allocation Table

File Name	Start Block	Length
File A	2	3
File B	9	5
File C	18	8
File D	30	2
File E	26	3

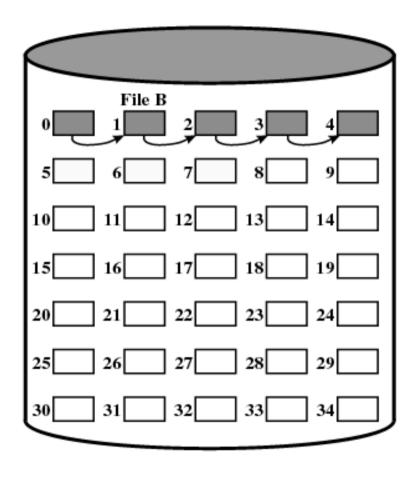
### Methods of File Allocation

- Chained allocation
  - Allocation on basis of individual block
  - Each block contains a pointer to the next block in the chain
  - Only single entry in the file allocation table
    - Starting block and length of file
- No external fragmentation
- No accommodation of the principle of locality (several disk accesses to bring in several blocks block)
- Some systems perform Consolidation to overcome the problem



File Allocation Table

File Name	Start Block	Length
File B	1	5



File Allocation Table

File Name	Start Block	Length
File B	0	5

Figure 12.10 Chained Allocation (After Consolidation)

#### Methods of File Allocation

- Indexed allocation
  - File allocation table contains a separate onelevel index for each file
  - The index has one entry for each portion allocated to the file
  - The file allocation table contains block number for the index

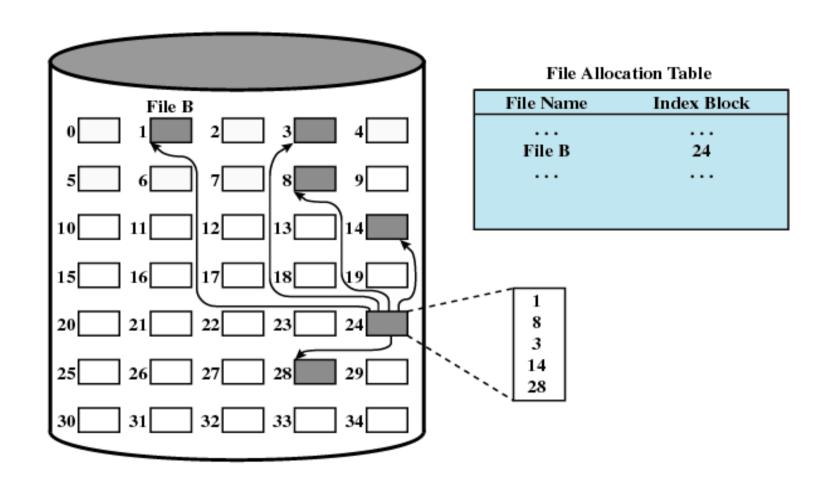
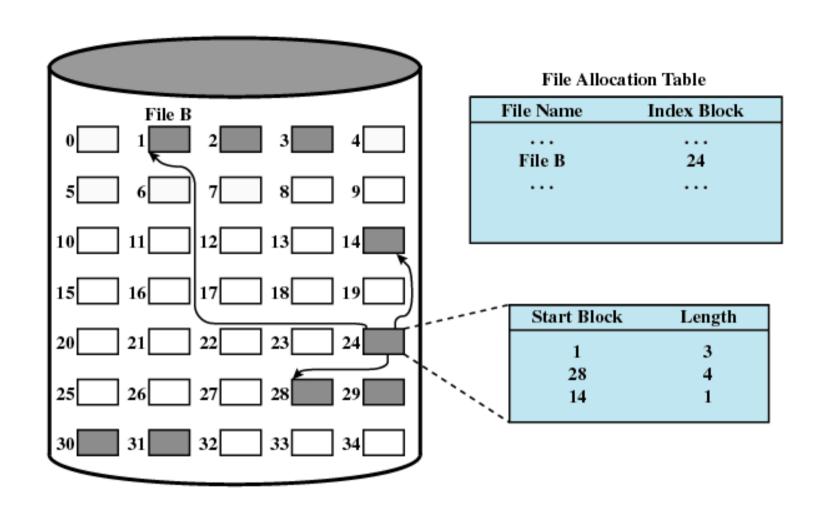


Figure 12.11 Indexed Allocation with Block Portions



gure 12.12 Indexed Allocation with Variable-Length Portion

# Free Space Management

- Bit Tables
  - 1 bit for each block: 0 free; 1 allocated.
  - Can be considerably big to be kept in main memory
  - Will then need many access to disk for search
- Chained Free Portions (pointer + length value)
  - Prone to fragmentation
- Indexing—one entry for each free portion
- Free Block List
  - Cannot be kept in MM (considerably larger than bitmap)
  - Space devoted is less than 1% of disk
  - Can be partially stored on MM (push-down stack or FIFO)

# **UNIX File Management**

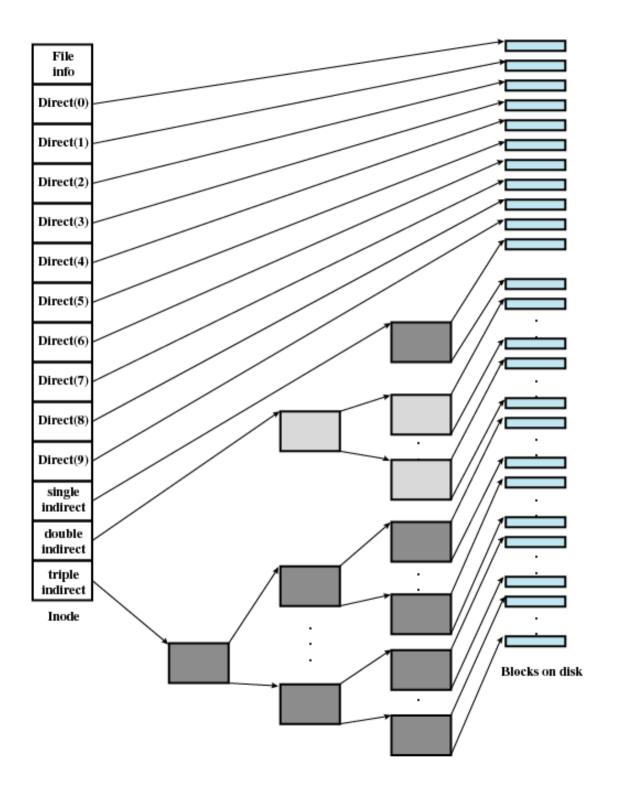
- Types of files
  - Regular, or ordinary—data as streams of bytes
  - Directory—list of filenames + pointers to inodes;
    editable by OS; readable by user programs
  - Special—mechanism to map phy dev to files (e.g. I/O devs)
  - Named pipes—IPC facility; buffers data; readable in FIFO fashion
  - Links—alterative filename for a file
  - Symbolic links—a datafile containing the name of the file linked to

# Index nodes (inodes)

- Control structure that contains key information for a particular file
- One inode per file; but:
- Several filenames assoc to a unique inode.
- Inode table or list resides on disk.
- When file accessed its iNode its brought in MM

#### Inode structure

- Type&access mode
- File's owner&group-access ids
- Times: creation;last write&read;last inode update by the OS
- Size of file in bytes
- Sequence of block pointers
- Nr of phy blocks used by the file (both data and attributes)
- Nr. of references to the file
- Flags describing file characteristics (kernel&user settable)
- Block size of data-blocks referenced by inode (same as the filesystem blocksize)
- Size of extended attribute information
- Extended attribute entries (e.g. access control lists or security labels for mandatory access control schemes)



# File allocation & Advantages

- Done on block-bases
- Is dynamic—not all blocks are contiguous
- Indexed method to keep track of pieces of file;
- Inodes: some direct pointers, 3 indirect pointers (single, double, triple)
- FreeBSD (4KB block size)—120 bytes of address information:
  - 12 direct pointers to first 12 blocks of the file (12 blocks, 48K size)
  - 13<sup>th</sup> pointer -> single indirect block (512 block addresses, 2M size)
  - 14<sup>th</sup> pointer -> double indirect block (256K block addresses, 1G size)
  - 15<sup>th</sup> pointer -> triple indirect block (128M block addresses, 521G size)

#### Advantages:

- Inode is of fixed size & small—easily kept in MM
- Reduced access time (little or no indirection) 4 small files
- Max file size large enough to satisfy all apps

### Directories:

- Structured in hierarchical tree
- Contain files and/or other directories (subdirectories)
- Simple files containing lists of filenames and pointers to corresp. Inodes (the i-number)
- The i-number indexes the inode table

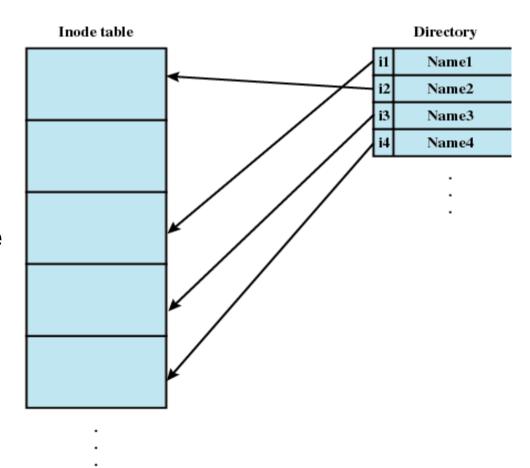
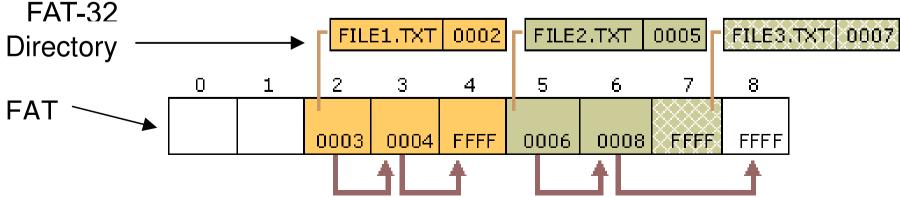


Figure 12.14 UNIX Directories and Inodes

#### **FAT: File Allocation Table**

- Linear table with 1 column; element-size is of 12/16/32 bits
- Usage and allocation status of blocks
- Resides at the beginning of the disk
- Status == value (0 free; used otherwise)
- Value of used block == address of next block (last block all bits 1)
- Filenames, attributes&first block address within a directory in the system
- Fixed directories (and files) for FAT-12/16, dynamic with



### **FAT limitations**

- Max block nr limited by bits associated to each table entry
  - Bigger files ->bigger blocks->more internal fragmentation
- Difficult cashing for the whole FAT for large disks
- Getting worse with bigger file systems

