

BARAK et al. "On The (Im)possibility of Obfuscating Programs".

CRYPTO 01 in Journal of the ACM 2012.

SAPIENZA  
UNIVERSITÀ DI ROMA

1

OBFUSCATION.

Goal of obfuscation: Make a program "unintelligible" while preserving its functionality. Intuitively, obfuscator = virtual black-box: anything one can compute from  $\mathcal{IT}$ , one can also compute from the input-output behaviour of the program. So an obfuscator  $\mathcal{O}$  is a "compiler" that given a program (or circuit)  $P$  outputs a new program  $\mathcal{O}(P)$  such that:

- ) FUNCTIONALITY.  $\mathcal{O}(P)$  computes the same function as  $P$ .
- ) VBB. Anything one can compute from  $\mathcal{O}(P)$ , can be eff. computed given oracle access to  $P$ .

Applications of obfuscation:

- 1) Software protection. Protect against reverse engineering. Factoring example. Watermarking.
- 2) Replacing a RO. Obfuscate a family of PRFs.
- 3) Converting SKE into PKE. Obfuscate  $\text{Enc}(K, \cdot)$  of the SKE.
- 4) Homomorphic encryption. Obfuscate  $\text{Enc}(K, \text{Dec}(K, \cdot)) + \text{Dec}(K, \cdot)$ .

Main result: General purpose VBB obfuscator is impossible.

1. PLAN:

- ) Definitions of obfuscation.
- ) The main impossibility proof.
- ) Impossibility of applications.
- ) Discussion.

2. DEFINITIONS. Main definition for circuits and THs. A circuit  $C$  computes  $f: \{0,1\}^n \rightarrow \{0,1\}^m$  if  $\forall x \in \{0,1\}^n$ ,  $C(x) = f(x)$ . AND, OR, NOT and randomness gates.

Oracle access:  $A^P(x)$  output of algorithm  $A$  on input  $x$ , given

oracle access to  $P$ . If  $P$  is a TM we actually mean  $A$  is allowed to run  $P$  on some input of say  $t$  steps getting output  $y$ .

Main definitional issue: How to formalize "what the adversary can learn".

Strongest would be computational indistinguishability: given oracle access to  $P$ , produce an output distribution that is  $\approx_c$  from what the adversary computes given  $O(P)$ . Here is a distinguisher:  $D(P, P')$  accepts if  $P'$  and  $P$  agree on many randomly chosen inputs (with  $P' \approx_c O(P)$ ).

Weakest: restrict to satisfy a relation to one bit functions.

DEF (TM obfuscator). A PPT algorithm  $O$  is a TM obfuscator for a family  $F$  of TMs if it satisfies:

- ) FUNCTIONALITY.  $\forall M \in F$ ,  $O(M)$  describes a TM computing  $M$ .
- ) POLYNOMIAL SLOW-DOWN. Length and running time of  $O(M)$  at most polynomially longer than that of  $M$ :  $\exists \text{poly}(\cdot)$  s.t.  $\forall M \in F$ ,  $|O(M)| \leq \text{poly}(|M|)$  and if  $M$  halts after  $t$  steps,  $O(M)$  halts after  $\text{poly}(t)$  steps (or input  $x$ ).
- ) VBB.  $\forall$  PPT  $A$ ,  $\exists$  a PPT  $S$  and a negligible function  $\epsilon$  s.t.  $\forall M \in F$  we have  $|\Pr[A(O(M))=1] - \Pr[S^H(1^{|M|})=1]| \leq \epsilon(|M|)$ .

Circuit obfuscator: as above, but  $F$  is a collection of circuits.

PROP. If a TM obfuscator exists, then a circuit obfuscator exists.

PROOF. Can emulate a circuit via a TM but not the other way.

3. IMPOSSIBILITY. We just show the essence of it. We start with a definition.

DEF (2-TM obfuscator). As a TM-obfuscator, but:

- ) VBB.  $\forall$  PPT  $A$ ,  $\exists$  a PPT  $S$  and  $\epsilon(\cdot)$  such that  $\forall M, N \in F$  we have  $|\Pr[A(O(M), O(N))=1] - \Pr[S^H(1^{\min(|M|, |N|)})=1]| \leq \epsilon(\min(|M|, |N|))$ .

LEMMA. Neither 2-TM nor 2-circuit obfuscator exists.

INTUITION: There is a difference between having BB access to a program and getting access to the program itself no matter how obfuscated. The proof will use a function that is hard to learn via such queries.

PROOF. Suppose  $\exists$  a 2-TM obfuscator  $O$ . For  $\alpha, \beta \in \{0,1\}^k$  define a TM

$$C_{\alpha, \beta}(x) = \begin{cases} \beta & \text{if } x = \alpha \\ 0^k & \text{otherwise} \end{cases}$$





We assume that on input  $x$ ,  $C_{\alpha, \beta}$  runs in time  $10 \cdot |x|$  (Convention) -  
Now consider a TM  $D_{\alpha, \beta}$ , as follows:

$$D_{\alpha, \beta}(C) = \begin{cases} 1 & \text{if } C(\alpha) = \beta \\ 0 & \text{otherwise} \end{cases}$$

where  $C$  is the code of a TM. The above is not well-defined and later we will just run a modified  $D_{\alpha, \beta}$  that runs  $C(\alpha)$  for poly( $n$ ) steps and outputs 0 in case  $C$  does not halt within that many steps.  
Consider the following adversary  $A$ : given two TMs  $C, D$ , adversary  $A$  outputs  $A(C, D) = D(C)$ . (Again later run  $D$  on  $C$  for poly steps.)

Now,  $\forall \alpha, \beta \in \{0, 1\}^k$  we have

$$\Pr [A(O(C_{\alpha, \beta}), O(D_{\alpha, \beta})) = 1] = 1 \quad (1)$$

Let  $Z_k$  be a TM always outputting  $0^k$ . Then  $\forall$  PPT  $S$  we must have:

$$\left| \Pr [S^{C_{\alpha, \beta}, D_{\alpha, \beta}}(1^k) = 1] - \Pr [S^{Z_k, D_{\alpha, \beta}}(1^k) = 1] \right| \leq 2^{-k} \quad (2)$$

The above prob. is taken over the choice of  $\alpha, \beta \in \{0, 1\}^k$  and the coins of  $S$ .

Finally,

$$\Pr [A(O(Z_k), O(D_{\alpha, \beta})) = 1] = 2^{-k}$$

as  $D_{\alpha, \beta}(Z_k) = 1$  iff  $\beta = 0^k$ . Note that (1)+(2)+(3) yields the lemma.

Note that Eq. (1) involves  $A(O(D_{\alpha, \beta}), O(C_{\alpha, \beta}))$  which is equivalent to  $O(D_{\alpha, \beta})(O(C_{\alpha, \beta}))$ , which is equivalent to  $D_{\alpha, \beta}(O(C_{\alpha, \beta}))$  which requires executing  $O(C_{\alpha, \beta})(\alpha)$  which is equivalent to  $C$  on  $\alpha$   $C_{\alpha, \beta}(\alpha)$ .

By polynomial slow-down the latter requires poly( $10 \cdot k$ ) = poly( $k$ ) steps.

Thus  $A$  needs only to run for poly( $k$ ) steps. ■

For the correct case, it suffices to assume that  $D_{\alpha, \beta}$  have input of size poly( $k$ ).

Next, we present the main result.

THM 1. No TM-obfuscator exists.

Proof. For TMs  $f_0, f_1 : X \rightarrow Y$ , define  $f_0 \# f_1 : \{0, 1\}^k \times X \rightarrow Y$  as  
 $(f_0 \# f_1)(b, x) = f_b(x)$

Assume that there is a TM-obfuscator  $O$ . For  $\alpha, \beta \in \{0, 1\}^k$  let  $C_{\alpha, \beta}$  and  $D_{\alpha, \beta}$  as above, and  $Z_k$  as above. Consider  $F_{\alpha, \beta} = C_{\alpha, \beta} \# D_{\alpha, \beta}$  and  $G_{\alpha, \beta} = Z_k \# D_{\alpha, \beta}$ . Consider adversary  $A$  that given a TM  $F: \{0, 1\}^* \times X \rightarrow Y$  first decomposes  $F$  into  $F_0 \# F_1$  and then outputs  $F_1(F_0)$ . (As before  $A$  runs in poly( $|F|$ ) time). Let  $S$  be the PPT simulator for  $A$ , we have (as before)

$$\Pr [A(O(F_{\alpha, \beta})) = 1] - \Pr [A(O(G_{\alpha, \beta})) = 1] = 1 - 2^{-k}$$

$$|\Pr [S^{F_{\alpha, \beta}}(1^k) = 1] - \Pr [S^{G_{\alpha, \beta}}(1^k) = 1]| \leq 2^{-\Omega(k)}$$

This is a contradiction.  $\square$

In the circuit settings things get more complicated. Note that above the adversary  $A$  evaluates  $F_1(F_0)$  where  $F_0 \# F_1 = O(F_{\alpha, \beta}) = O(C_{\alpha, \beta} \# D_{\alpha, \beta})$ . For this to make sense the size of  $F_0$  must be at most the size of  $F_1$ . But since the output of  $F_0 \# F_1$  can be polynomially larger than its input  $C_{\alpha, \beta} \# D_{\alpha, \beta}$ . There is no such guarantee.

However, one can get around this and show the following theorem (complex):

THM. If one-way functions exist, no circuit obfuscator exists.

The above result is actually non-trivial, since:

THM. If efficient circuit obfuscator exists, then one-way functions exist.

Proof. For  $\alpha \in \{0, 1\}^k$  and  $b \in \{0, 1\}$ , let  $C_{\alpha, b}: \{0, 1\}^k \rightarrow \{0, 1\}$  be defined as

$$C_{\alpha, b}(x) = \begin{cases} b & \text{if } x = \alpha \\ 0 & \text{otherwise} \end{cases}$$

Define  $f_k(\alpha, b, \kappa) = O(C_{\alpha, b}; \kappa)$ . Show that  $f = \bigvee_{\kappa \in \mathbb{N}} f_k$  is a one-way function and is efficient. Also  $b$  is determined by  $f_k(\alpha, b, \kappa) \Rightarrow$  if  $b$  is a hard-core bit for  $f_k$ , then  $f_k$  is hard to invert. To prove that  $b$  is a hard-core bit first note that for all PPT  $S$ :

$$\Pr_{\alpha, b} [S^{C_{\alpha, b}}(1^k) = b] \leq 1/2 + \text{negl}(k).$$

By VOB property of  $O$ , it follows that for all PPT  $A$ :

$$\Pr_{\alpha, b, \kappa} [A(f(\alpha, b, \kappa)) = b] = \Pr_{\alpha, b} [A(O(C_{\alpha, b})) = b] \leq 1/2 + \text{negl}(k). \quad \square$$

Corollary. Efficient circuit obfuscator do not exist.



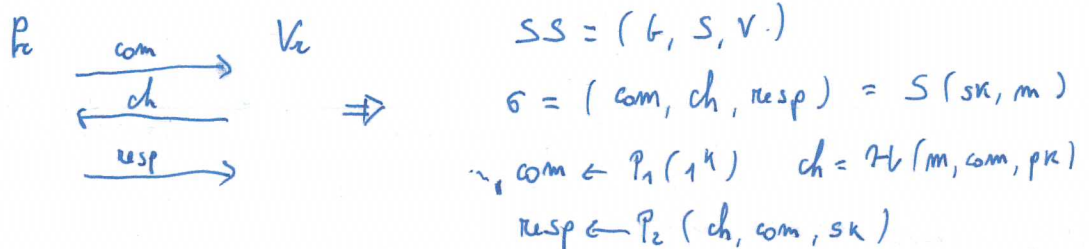


4. IMPOSSIBILITY OF SOME APPLICATIONS. Next we rule out some basic generic definitions for obfuscation. Here we actually even rule out the applications.

(a) PKE from SKE. Given  $SKE = (G, E, D)$  publish  $PK = O(E(K, \cdot))$ .  
 THM. If there exists secure probabilistic SKE schemes, then there exist ones that are unobfuscatable.

Unobfuscatable means that  $\exists$  a PPT  $A$  such that  $\forall K \leftarrow G(1^k)$  and  $\forall \tilde{E}_m(K, \cdot) = O(E(K, \cdot))$  such that  $\tilde{E}(K, \cdot)$  and  $E(K, \cdot)$  are s.t.e. close,  $A(\tilde{E}_m(K, \cdot)) = PK$ .

(b) Fiat-Shamir signatures.



THM. Assume that OWF exists. There exists  $\{h_{\mathbb{R}}\}_{\mathbb{R} \in \{0,1\}^*}$  s.t. replacing  $H$  by an obfuscation of  $h_{\mathbb{R}}$  yields an insecure scheme.

5. DISCUSSION. Where do we go from here? Can we obtain any positive result about cryptographic obfuscation? Proposal for next talks:

- Barak et al. only rule out ~~any~~ VBB obfuscation for  $\neq$  all  $\ast$  programs. Natural direction: obfuscate  $\ast$  specific  $\ast$  programs  $\dagger$  on (possibly weakening the security definition in a way that still allows for applications).  
 Lynn, Prabhakaran, Sahai. "Positive results and techniques for obfuscation". EC'04.  
 Wee. "On obfuscating point functions". STOC 05.
- Constructions for weaker definitions of obfuscation (general purpose).  
 DEF. (D0).  $\forall$  PPT  $A$ ,  $\exists$  negl. func.  $\epsilon$  such that  $\forall c_1, c_2$  that compute the same function and are of the same size  $k$ :

$$|Pr[A(O(c_1))=1] - Pr[A(O(c_2))=1]| \leq \epsilon(k).$$

DEF. (e0, a.k.a. d1'0).  $\forall$  PPT  $A$ ,  $\exists A'$  and  $\epsilon(\cdot)$  s.t. the following holds. Suppose  $c_1, c_2$  are circuits of size  $k$ , s.t.

$$\epsilon' = |Pr[A(O(c_1))=1] - Pr[A(O(c_2))=1]| > \epsilon(k).$$

Then  $\forall c_1', c_2'$  of size  $k$  s.t.  $c_1'$  computes the same as  $c_2$ , we have that  $A'(c_1', c_2')$  outputs an input on which  $c_1, c_2$  differ in some poly( $k, 1/(\epsilon' - \epsilon(k))$ ).

Song, Senary, Halevi, Raykova, Sahar, Waters. "Candidate Impl. Obfuscation and functional encryption for all circuits". FOCs 2013.

Boyle, Chung, Pass. "On Extractability (o.k.a. Differing-Inputs) Obfuscation". TCC 2014.

Pass, Seth, Telang. "Impl. Obfuscation from Semantically-Secure Multilinear Encodings". CRYPTO 2014.

Amanth, Gupta, Ishai, Sahar. "Optimizing Obfuscation: Avoiding Beaver's Theorem". CCS 2014.

### 3. Applications of NO.

Sahar, Waters. How to use NO: deniable encryption and more. STOC 2014.

[GGHR14]. "Two-round secure MPC from NO". TCC 2014.

[HSW14]. "Replacing  $e$  to: FDK from NO". EC 2014.

[BB13]. "Multiparty KE, efficient Prover-Freeing, and more from NO". CRYPTO 2014.

[BST13]. "Poly-many hard-core bits for any one-way function". IACR Preprint. ACM.

[MR13]. "There is no NO in Pseudoland". IACR ePrint.

[BM14]. "Assuming NO we VCEs". Asiacrypt 2014.

For 1. above mention also:

[HRV04]. "Securely obfuscating re-encryption". TCC 2004.

[Had10]. "Secure obfuscation for encrypted signatures". EC 2010.

[GGHW14]. "On the implausibility of Differing-Inputs obfuscation and extractable witness encryption with auxiliary input".